

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 215472US0	SERIAL NO. 10/029,289		
LIST OF REFERENCES CITED BY APPLICANT <i>O I P E</i> <i>MAR 20 2002</i> <i>PATENT & TRADEMARK OFFICE</i>		APPLICANT Klaus SCHULTES, et al.					
		FILING DATE December 28, 2001		GROUP 1714			
		U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO	
P. S.	AO	722 219	01/19/55	GREAT BRITAIN			
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
P. S.	AW	Chemical Abstracts, Vol. 92, No. 8, AN 92:59433w, page 15, XP 002189328, February 25, 1980, RO 62 938, November 25, 1977					
	AX						
	AY						
	AZ						
Examiner		<i>Peter Selsky</i>				Date Considered <i>9/10/03</i>	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRADEMARK REGISTRY		ATTY DOCKET NO. 215472US0	SERIAL NO. 10/029,289		
LIST OF REFERENCES CITED BY APPLICANT							
				APPLICANT Klaus SCHULTES, et al.			
				FILING DATE December 28, 2001	GROUP 1714		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
P.S.	AA	6,355,712	03/12/02	Schultes, et al.	524	296	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner <i>Peter Sceletz</i>		<input type="checkbox"/> Additional References sheet(s) attached					
		Date Considered <i>9/10/03</i>					
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/Applicant</u>
0583-0409-0	6,355,712	03/12/02	SCHULTES, et al.
212248US0 PCT	09/926,484	11/09/01	MEIER, et al.
215472US0*	10/029,289	12/28/01	SCHULTES, et al.
215473US0	10/029,265	12/28/01	SCHULTES, et al.
231992US0 PCT	10/363,319	03/03/03	SCHULTES, et al.

RECEIVED
MAY 28 2003
GROUP 1700

*Present Application; listed for information
NFO/ltc

Docket No. 215473US0



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Klaus SCHULTES, et al.

SERIAL NO: 10/029,289

GAU: 1711

FILED: December 28, 2001

EXAMINER:

FOR: MOULDING COMPOSITIONS WITH DIFFUSING PROPERTIES AND MOULDINGS OBTAINABLE FROM THESE

RECEIVED
MAY 22 2003
TC-1700

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR § 1.17(p).

RELATED CASES

- Attached is a list of applicants pending applications/issued patent which may be related to the present application. A copy of the patent, together with a copy of the claims and drawings of the pending applications is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR § 1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Roland E. Martin
Registration No. 48,082



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 03/02)

O P E J C 139
MAY 21 2003
U. S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
& TRADEMARK

SHEET 1 OF 1

Form PTO 1449
(Modified)

U. S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
& TRADEMARK

STUTTY DOCKET NO.

215473US0

SERIAL NO.

10/029,265

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Klaus SCHULTES, et al.

FILING DATE

December 28, 2001

GROUP

RECEIVED
MAY 22 2003
TC 1200

U. S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
P.S.	AA	6,355,712	03/12/02	SCHULTES, et al.	524	296	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

AW	
AX	
AY	
AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner *Peter Srekelis* Date Considered *9/10/03*

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



RECEIVED
MAY 22 2003
TCC 1700

LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/Applicant</u>
0583-0409-0	6,355,712	03/12/02	SCHULTES, et al.
212248US0 PCT	09/926,484	11/09/01	MEIER, et al.
215472US0	10/029,289	12/28/01	SCHULTES, et al.
215473US0*	10/029,265	12/28/01	SCHULTES, et al.
231992US0 PCT	10/363,319	03/03/03	SCHULTES, et al.

*Present Application; listed for information
NFO/lcc

Docket No. 215472US0



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Klaus SCHULTES, et al.

SERIAL NO: 10/029,289

GAU: 1714

FILED: December 28, 2001

FOR: PROCESS FOR PREPARING BEAD POLYMERS WITH AN AVERAGE PARTICLE SIZE IN THE RANGE FROM 1 TO 40 μ M, MOULDING COMPOSITIONS COMPRISING BEAD POLYMER, AND MOULDINGS AND PAMA PLASTISOLS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

RECEIVED

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

MAY 28 2003

SIR:

Applicant(s) wish to disclose the following information.

GROUP 1700

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Docket No.

215472US0/shb

MAR 20 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Klaus SCHÄTTES, et al.

SERIAL NO: 10/029,289

GAU: 1714

FILED: December 28, 2001

EXAMINER:

FOR: PROCESS FOR PREPARING BEAD POLYMERS WITH AN AVERAGE PARTICLE SIZE IN THE RANGE FROM 1 TO 40 μ M, MOULDING COMPOSITIONS COMPRISING BEAD POLYMER, AND MOULDINGS AND PAMA PLASTISOLS

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

RECEIVED
MAR 21 2002
TC 1700

REFERENCES

- The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Norman F. Oblon
Registration No.

24,618



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)

William E. Beaumont

Registration Number 30,995